

Communication

# Butyl (2,2-Dibutoxybutanoyl)-L-Tryptophanate

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**Abstract:** The multicomponent reaction between L-tryptophan **1**, 2-oxobutanoic acid **2**, and 1-butanol in the presence of SiMe<sub>3</sub>Cl was studied using microwave irradiation conditions. The main product was identified as an unreported acetal-containing compound, namely, butyl (2,2-dibutoxybutanoyl)-L-tryptophanate (**3**), yielding 89%. NMR experiments demonstrated that the adjacent methylene protons of the acetal group appeared as two signals exhibiting their behavior as diastereotopic protons. DFT/B3LYP calculations revealed an asymmetric molecular structure with specific angles, leading to an explanation of the NMR results. The calculated chemical shifts showed slight differences with the experimental values and suggested magnetic anisotropy and inductive deprotection around the methylene hydrogen atoms in the acetal location. The reaction mechanism was proposed in which SiMe<sub>3</sub>Cl plays a crucial role by promoting water removal through key steps.

**Keywords:** acetal synthesis; geminal-diether; L-tryptophan derivatives; microwave irradiation; DFT calculations

## 1. Introduction

Acetals, also known as geminal-diethers, are organic compounds with a functional group R<sub>2</sub>C(OR')<sub>2</sub>, where the R groups are usually organic fragments constituted by a carbon atom, with hydrocarbon chains attached to that or one hydrogen atom. In contrast, the R' groups must be exclusive organic fragments [1]. These compounds can usually be formed from and also be convertible to aldehydes or ketones, having the same oxidation state at the central carbon but remarkably different chemical stability and reactivity compared to the analogous carbonyl compounds [2].

Several applications in the organic synthesis of these compounds have been reported, including forming carbon–carbon, carbon–nitrogen, carbon–oxygen, carbon–sulfur, and carbon–selenium bonds [3–7]. Kidjemet demonstrated using DMF·DMA mixtures to convert *cis*-diols to olefins, using some substrates such as diethyl *D*-tartrate [8]. Kinderman reported the palladium-catalyzed amidation of alkoxyallenes under basic conditions to access the 1-ethylquinazolidine structural fragment [9]. Dhakshinamoorthy described novel methodologies [10] involving acetals, such as the chemoselective synthesis of geminal diacetates from aldehydes using acetic anhydride and cerium (IV) ammonium nitrate (CAN). Lippur has reported the synthesis of (2*S*,2'*S*)-bimorpholine from (*R,R*)-tartaric acid ester acetal in six steps with 50% of the total yield, involving cyanide-catalyzed amidation and cyclization in a single step with *p*-toluenesulfonyl imidazole [11]. The derivatization of *N,N'*-dibenzylbimorpholine provided quaternary bimorpholinium salts used as chiral phase transfer alkylation catalysts. Juma reported the preparation of acetal-protected (2,4-dioxocyclohex-1-yl)acetic acid derivatives by the allylation of dilithiated 1,3-cyclohexane-1,3-diones, the protection of carbonyl groups, and the oxidation of the alkene precursor [12]. Polyacetals (and ketals) and polymers containing acetal bonds in the main chain or pendant chains have also been reported as an essential class of degradable polymers that can be considered for biomedical applications with orthopedic purposes



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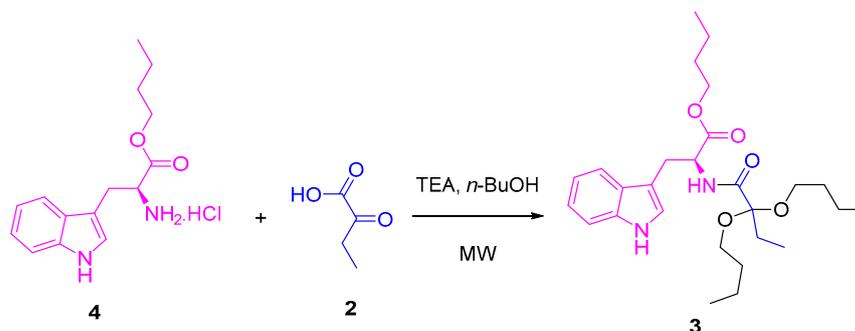
and biocompatible and conjugated polymers with applications in drug formulation and medicine regenerative [13].

The synthesis of acetals involves aldehydes or ketones as precursors, which can be transformed into acetals or geminal dieters [14]. Several methods have used glycerol as a precursor, acetalized with carbonyl compounds using an iron catalyst  $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$ , forming cyclic acetals [15]. *N*-acyl-*N* and/or *O*-acetals can be synthesized from aldehydes, amides, and alcohols via a two-step protocol [16]. Metal ions can catalyze the amide group formation and cleavage of C-O bonds [17]. Recently, methodologies combining acetal formation and amidation reactions were described for biologically active compounds [18]. Vincent reported two procedures for preparing *N,N*-dibenzyl formamidines from primary amines [19]. Tsotinis reported the synthesis of pyrrolo [1',2':1,2][1,4]diazepin [7,6-b]indol-5(6H)-one compounds [20]. Wu synthesized spiropyrans by condensing hemiacetal chromene esters with nucleophiles [21]. Luo described the development of a scalable synthetic route for the insecticide broflanilide [22].

Recently, the reactions between alkyl esters derived from 2-amino acids such as *L*-tryptophan **1** and 2-ketocarboxylic acids were explored by the Bioorganic Chemistry laboratory [23]. The reaction yields decreased when high-molecular-weight alcohols were used. Microwave irradiation presumably promoted kinetically favored amidation reactions. Small alcohols, e.g., methanol and ethanol, induced a subsequent reaction, forming a hemiacetal compound. When using isopropanol, initial transesterification and amidation occurred, but carbonyl group attack is not favored, preventing the formation of the described hemiacetal. Considering the relevance of this class of compounds, the specificity of its synthesis [24], as well as its potential use as a precursor of various biologically active compounds [25], the synthesis of an unreported acetal-containing compound, i.e., butyl (2,2-dibutoxybutanoyl)-*L*-tryptophanate **3**, is described for the first time in the present study. In addition, the previously reported methodology [23] was revisited, and the influence of the temperature and the reaction time in a model conversion consisting of *L*-tryptophan **1**, 2-oxobutanoic acid **2**, and 1-butanol as precursors was investigated, evaluating the role of  $\text{SiMe}_3\text{Cl}$  as a catalyst under microwave (MW) irradiation. The results are discussed below.

## 2. Results and Discussion

Several tests were carried out to achieve the synthesis of the title compound using *L*-tryptophan **1** as a precursor. The method proposed by Quiroga [23] was initially used, whose *L*-tryptophan butyl ester **4** [26] and 2-oxobutanoic acid **2** were used as precursors at the same stoichiometric ratio (1 eq:1 eq). These compounds were mixed with 1-butanol excess, and, after the addition of triethylamine, they were placed under microwave (MW) irradiation at several temperatures and times (Scheme 1, Table 1). Once the reactions ended, the target product was purified. In some cases, the product was identified as compound **3**, with low yields between 5 and 22%. The spectroscopic data are presented in the Supplementary Materials (Figures S1–S3).

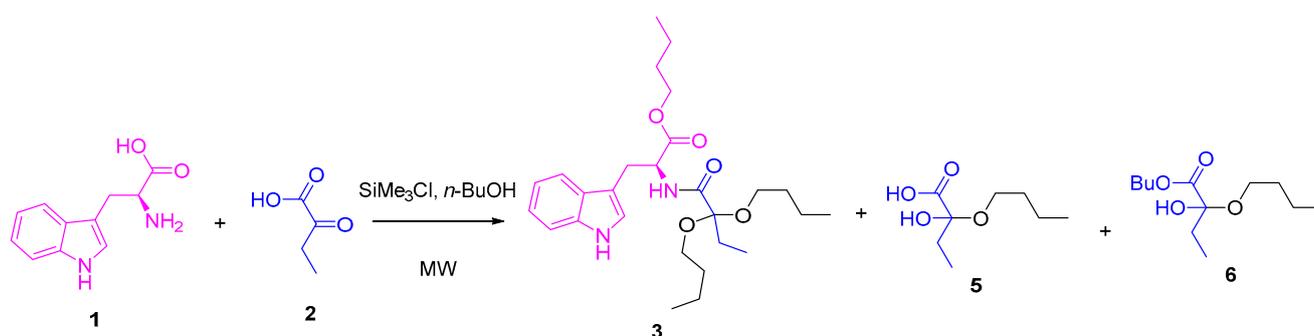
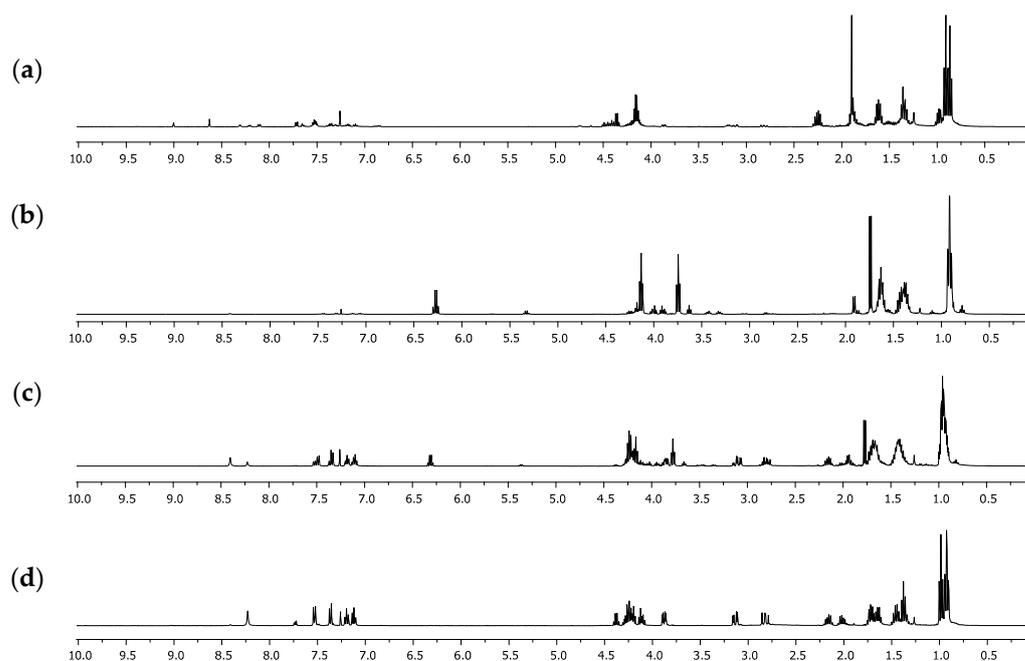


**Scheme 1.** Synthesis of compound **3** using the previously reported methodology [23].

**Table 1.** MW-assisted synthesis of compound **3** from four varying reaction conditions.

Entry	Conditions	Yield of <b>3</b> (%)
1	80 °C, 20 min	No detected
2	80 °C, 40 min	No detected
3	120 °C, 20 min	5
4	120 °C, 40 min	7
5	140 °C, 20 min	13
6	140 °C, 40 min	22

A one-pot methodology was evaluated to improve the yield for compound **3**. Thus, mixtures of *L*-tryptophan **1** and 2-oxobutanoic acid **2** (1 eq:1 eq) in the presence of SiMe<sub>3</sub>Cl (4 eq) and 1-butanol were heated using MW irradiation at different temperatures, varying the time of reaction (Scheme 2). Other products were detected by <sup>1</sup>H NMR of the reaction crudes (Figure 1), which were extracted in each experiment using dichloromethane. The yield of the products depended on the used conditions (Table 2).

**Scheme 2.** One-pot synthesis of compound **3**.**Figure 1.** <sup>1</sup>H NMR spectra of the extracted reaction crudes at different temperatures in CDCl<sub>3</sub>: (a) 80 °C, 40 min; (b) 120 °C, 40 min; (c) 140 °C, 20 min; (d) 140 °C, 40 min.

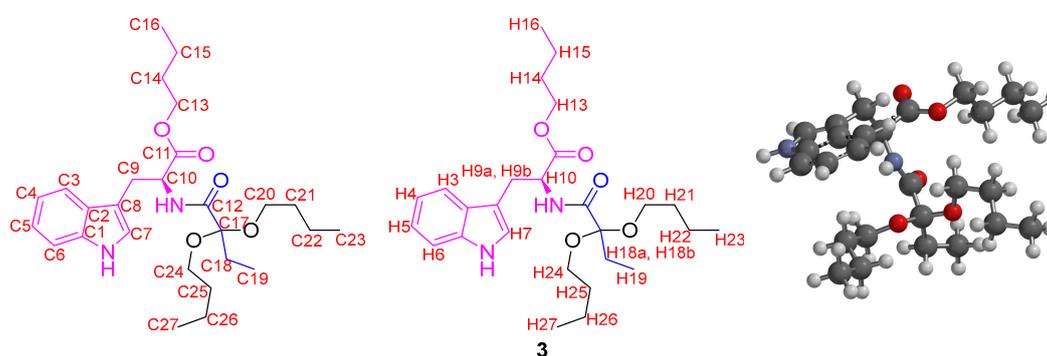
**Table 2.** One-pot MW-assisted synthesis of compound 3 varying reaction conditions.

Entry	Conditions	Yield of 3 (%)	Yield of 5 (%)	Yield of 6 (%)
1	80 °C, 20 min	No detected	15	2
2	80 °C, 40 min	No detected	31	4
3	120 °C, 20 min	No detected	3	23
4	120 °C, 40 min	No detected	No detected	51
5	140 °C, 20 min	47	No detected	25
6	140 °C, 40 min	89	No detected	No detected

Initially, the methodology reported by Quiroga [23], in which alkyl esters derived from *L*-tryptophan are used as a precursor, was evaluated. The formation of acetals and hemiacetals is determined by the acid-base character of the medium but mainly by the nucleophilic nature of the alcohol used [27]. Bulky alcohols such as 1-butanol have a lower “nucleophilicity” than low-molecular-weight alcohols such as methanol or ethanol. Studies have shown it is possible to use a basic medium that leads to the formation of the corresponding alkoxide [28], which has greater nucleophilicity. A base reagent such as sodium hydroxide was used instead of triethylamine under the same conditions. However, the formation of *L*-tryptophan 1 as a main product and the presence of the methyl 2-ketobutyrate salt were detected. Since the basic medium does not favor the formation of compound 3, it was decided to modify the synthesis protocol. Instead of using the butyl ester of *L*-tryptophan 1, the amino acid was used directly as a precursor under a one-pot methodology. Several reports [29–31] have shown that one-pot synthesis is a practical methodology and a promising and environmentally friendly approach to modern organic synthesis. Thus, a mixture of *L*-tryptophan 1 and 2-oxobutanoic acid 2 in 1-butanol reacted in excess of trimethylsilane chloride (SiMe<sub>3</sub>Cl), a dehydrating agent used in this type of reaction, specifically using amino acids as precursors [32]. Microwave irradiation (MW) was carried out at different temperatures and reaction times, obtaining that the conditions evaluated affected the progress of the reaction, as can be seen in the results of Table 2 and Figure 1. When the temperature considered was 80 °C, the formation of compound 5 was detected, which was identified as a compound with a hemiacetal functional group and carboxylic acid derived exclusively from 2-oxobutanoic acid 2.

The <sup>1</sup>H NMR spectra showed six signals between 0.5 and 5.0 ppm. Between 0.8 and 1.0 ppm, two signals appeared as triplets, with a coupling constant of 7.4 Hz, that integrate for six hydrogen atoms, which correspond to two methyl groups of the ethyl fragment attached to the hemiacetal carbon and the butyl group. The multiplet signals between 1.2 and 1.7 ppm that integrate for two hydrogen atoms correspond to the CH<sub>2</sub>CH<sub>2</sub> methylene groups linked to the methyl group in the butyl fragment. Two multiplet signals were evident between 1.8 and 2.4 ppm, which integrated for one hydrogen atom each, which were assigned to the methylene group of the ethyl group attached to the hemiacetalic carbon and appeared as a pair of signals given that they are diastereotopic hydrogens. Finally, between 4.1 and 4.3 ppm, a signal with triplet multiplicity and a coupling constant of 6.6 Hz, which was assigned to the CH<sub>2</sub>-O group, was evident. Furthermore, it is possible to affirm that compound 5 is formed as a mixture of the enantiomers since the value of the specific rotation measured was close to 0°. The yield of 5 increases significantly if the reaction time is increased. However, if the reaction temperature rises to 120 °C, the yield of 5 decreases significantly, with product 6 being formed, which was identified as an ester-type derivative with the presence of the hemiacetal. The <sup>1</sup>H NMR spectrum showed three overlapping triplet signals around 0.9 ppm, which integrated for nine hydrogen atoms and presented a coupling constant of 7.4 Hz. These signals indicated the presence of three methyl groups, one more than what was observed for compound 5, which was assigned to the methyl group of the additional butyl fragment in the formed acetal functional group. Similarly, multiplet signals between 1.3 and 1.7 ppm increased their relative integral, being assigned for five hydrogen atoms. These signals were also given to the methylene CH<sub>2</sub>CH<sub>2</sub> groups linked to the methyl group of each butyl fragment but also to the diastereotopic hydrogen

atoms of the CH<sub>2</sub> group in the ethyl fragment attached directly to the hemiacetalic carbon, which, concerning **5**, undergo a high-field shift due to an inductive effect. Finally, two triplet signals with a coupling constant of 6.6 Hz and an integral corresponding to two hydrogen atoms were assigned to the methylene CH<sub>2</sub>-O groups. This result suggested that **6** is formed from **5**, through the esterification reaction, which is favored over the hemiacetal formation, presumably by kinetic factors. When the reactions are carried out at a temperature greater than 140 °C, a decrease in the percentage yield of **6** and the appearance of compound **3** as the main product is again evident. This result suggested that **3** is formed from **6** and the L-tryptophan butyl ester **4**, which is formed under these conditions but was not detected in the reaction crudes due to its low solubility in dichloromethane. After 40 min of microwave irradiation (MW) at 140 °C, an amidation reaction between **4** and **6** occurred, forming product **3**. The <sup>1</sup>H NMR spectrum of **3** showed the characteristic signals for the hydrogen atoms of the indole heteroaromatic fragment, which appeared between 7.1 and 8.3 ppm and integrated five hydrogen atoms. Furthermore, the typical signals of benzylic hydrogens presented in L-tryptophan derivatives were evident, which appeared as double doublets between 2.5 and 3.3 ppm, as they were diastereotopic. The hydrogen atom attached to the chiral center appeared as a multiplet at 3.88 ppm. The signals between 4.0–4.4 ppm and 0.8–2.2 ppm were relevant since they demonstrated the formation of the acetal group. Remarkably, the methylene-type hydrogen atoms attached to the “acetalic” carbon atom appeared as two different signals between 2.0 and 2.2 ppm, demonstrating that they were also diastereotopic. Moreover, the <sup>13</sup>C NMR spectrum showed acetal carbon as a signal in 110.6 ppm, displaced concerning the remaining aliphatic signals. Fully NMR signals are presented in Figure 2 and Table 3.



**Figure 2.** Labeled chemical structure and calculated optimized molecular structure of **3**.

The NMR experiment of compound **3** was striking since the signal corresponding to the methylene-type hydrogens linked directly to the “acetalic” carbon appeared as two different signals, demonstrating their diastereotopic character. DFT B3LYP computational calculations at the 6-311G\* level were carried out. The calculated and optimized molecular structure of compound **3** showed a total energy value of −1540 a.u., with structural characteristics that determine the magnetic differences between the different carbon and hydrogen atoms. The bond angles around the acetal carbon were 107° for O-C-O and 112° for the C-C-C fragment. The dihedral angle between the C24-O-C17 and C20-O-C17 planes was 167°, showing an antiperiplanar conformation between the butyl groups of the acetal. The dihedral angles between the planes formed through the C=O group of the amide group and each C-O bond of the acetal were 90.5 and 152.0°. These results demonstrated that the adopted structure of **3** presents asymmetric elements, especially in the location of the acetal group. Concerning the NMR calculations, the calculated values of the chemical shifts for the H atoms (Table 3) present minor differences from the experimental values, which are below 1 ppm in most cases.

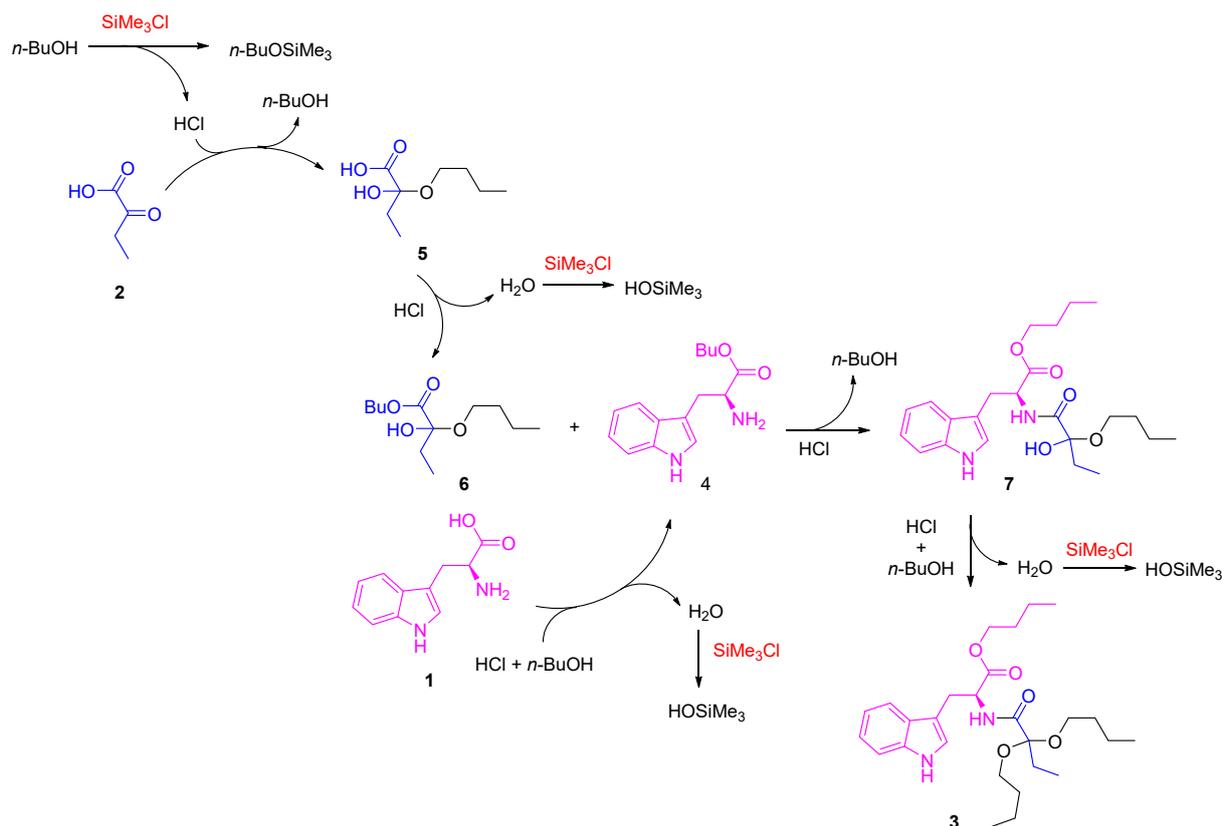
**Table 3.** Experimental and calculated chemical shifts of the carbon and hydrogen atoms of compound **3**.

C	Experimental $\delta_C$	Calculated $\delta_C$	$\Delta\delta_C$	H	Experimental $\delta_H$	Calculated $\delta_H$	$\Delta\delta_H$
C1	136.7	141.3	4.6	H3	7.53	7.69	0.16
C2	132.0	134.5	2.4	H4	7.37	7.37	0.00
C3	122.35	121.9	0.5	H5	7.13	7.39	0.26
C4	126.6	125.2	1.4	H6	7.20	7.31	0.11
C5	129.0	127.3	1.7	H7	8.23	7.00	1.23
C6	118.6	114.3	4.3	H9a	2.84	3.11	0.27
C7	119.7	117.6	2.1	H9b	3.14	3.17	0.03
C8	131.0	126.4	4.6	H10	3.88	3.32	0.56
C9	30.7	30.0	0.7	H13	4.20	3.62	0.58
C10	54.3	63.9	9.6	H14	1.41	2.06	0.65
C11	174.1	177.5	3.4	H15	1.41	1.23	0.18
C12	172.9	174.2	1.3	H16	0.99	1.12	0.13
C13	65.7	69.5	3.8	H18a	2.06	1.87	0.19
C14	30.8	34.5	3.7	H18b	2.01	1.37	0.64
C15	14.2	23.9	9.7	H19	0.93	1.32	0.39
C16	8.2	16.3	8.1	H20	4.10	4.11	0.01
C17	110.6	106.3	4.3	H21	1.41	1.97	0.56
C18	33.7	35.4	1.7	H22	1.41	1.47	0.06
C19	13.9	11.6	2.3	H23	0.99	1.06	0.07
C20	63.2	65.7	2.5	H24	4.27	3.74	0.53
C21	30.7	36.9	6.2	H25	1.41	2.48	1.07
C22	25.4	25.5	0.1	H26	1.41	1.48	0.07
C23	19.3	16.7	2.6	H27	0.99	0.85	0.14
C24	65.2	65.8	0.6				
C25	30.7	35.4	4.7				
C26	25.4	25.1	0.3				
C27	19.3	16.0	3.3				

For the H7 and H25 atoms, it is evident that the difference is around 1 ppm. The H7 atom moved to the low field, while the H25 atom appeared at the high field. The differences found can be explained based on magnetic anisotropy and deprotection due to the inductive effect. For the H7 atom, the inductive effect exerted by the hydrogen of the amino group of the indole ring is presumably marked, which is evident as an exchangeable proton, given that its signal in the  $^1\text{H}$  NMR spectrum is not evident or appears enormously broadened. Thus, the electronic density of the nitrogen atom decreases, possibly due to intermolecular hydrogen bond formation, making the electronic density at the location of the H7 atom lower and causing an increase in the  $\delta$  of this signal. Regarding the H25 atom, magnetic anisotropy is evident such that the local field currents formed from the carbonyl groups protect these fragments, leading to a lower chemical shift. The calculated molecular structure showed a rigidity that leads to an asymmetry around the acetal carbon, so the hydrogen atoms in the methylenes adjacent to this carbon appeared as different and markedly diastereotopic signals. The presence of multiplets instead of multiplied signals, such as well-defined triplets or quartets, supports this hypothesis. Furthermore, the performed calculations showed that the molecular structure of **3** has a point group  $C_1$ , which is interpreted in terms of symmetry as a model that does not exhibit any rotational symmetry. This molecule does not have an axis of rotation that leaves its structure invariant, indicating the absence of any axis of symmetry, so there is no axis around which the molecule can rotate and make it coincide with itself.

According to these results, a reaction mechanism that follows a series of sequential steps was proposed (Scheme 3). First, the formation of hemiacetal **5** is carried out, which is kinetically favored, as it is a poorly hindered 2-ketocarboxylic acid-type precursor with a high electrophilic character in the ketonic carbon. The presence of  $\text{SiMe}_3\text{Cl}$  tends to cause the loss of a water molecule. However, as 1-butanol is not very nucleophilic, the

acetal formation is not initially favored. In the second step, the esterification reaction of both *L*-tryptophan **1** and intermediate **5** occurs, forming compounds **4** and **6**, respectively. Subsequently, intermediates **4** and **6** react through an amidation reaction, forming an intermediate **7**, which finally reacts with 1-butanol, towards the formation of compound **3**. The presence of  $\text{SiMe}_3\text{Cl}$  is decisive in the reaction since it favors the elimination of water from the medium in crucial steps [33,34], presumably participating in the final formation of **3** since, as proposed by Izumi, it first reacts with alcohols or carboxylic acids to form  $\text{HCl}$ , which is probably the definitive catalyst for these reaction systems, in addition to trapping water and thus selectively promoting these dehydration reactions when used in excess.



**Scheme 3.** Proposed reaction mechanism for the formation of compound **3**.

### 3. Materials and Methods

#### 3.1. General

All reagents and chemicals were commercially acquired (Merck KGaA and/or Sigma-Aldrich, Darmstadt, Germany). They were employed without additional refinement. As a result, the purity of dry solvents was sufficiently defined during purchase. The products' progression of reaction and purification were monitored by thin-layer chromatography (TLC) on silica gel 60 F254 plates (Merck KGaA) under detection at 254 nm. Nuclear magnetic resonance (NMR) experiments were conducted using a Bruker Avance AV-400 MHz spectrometer. TMS was used as a reference to give chemical shifts in  $\delta$  (ppm). Typical splitting patterns were implemented to define the signal multiplicity (i.e., *s*, singlet; *d*, doublet; *t*, triplet; *m*, multiplet). The chemical reactions were carried out in a Single-mode Discover System microwave reactor model 908,005 series DY1030 in a closed vessel controlling the temperature. Mass spectrometry was carried out on a UHPLC Shimadzu Nexera X2 chromatograph coupled to a QTOF LCMS9030 spectrometer. Formic acid 0.1%: methanol 40:60 was employed as the mobile phase, with a total run time of 20 min, using a Shim-pack HR-ODS column 150 mm L  $\times$  3 mm ID, 80  $^\circ\text{A}$ . The analysis was performed in

positive and negative ion modes, finding that the most appropriate mode was ESI+, which detected the  $[M+H]^+$  pseudomolecular ion.

### 3.2. Chemical Synthesis

A mixture of L-tryptophan (1 mmol) and 2-oxobutanoic acid (1 mmol) in the presence of  $\text{SiMe}_3\text{Cl}$  (4 mmol) in excess of 1-butanol (5 mL) was placed in a microwave reactor tube. The mixture was irradiated for 40 min at 140 °C. Then, triethylamine (4.4 mmol) was added, and irradiation was resumed for a further 20 min at the same temperature. The reaction crude was concentrated to dryness by distillation under reduced pressure. Finally, compound **3** was purified by column chromatography, using silica gel as the stationary phase and hexane: ethyl acetate mixtures of variable compositions as the mobile phase.  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectroscopy, ESI-MS, and FT-IR spectroscopy were used to characterize the compound. The results are presented in the Supplementary Materials (Figures S1–S3).

### 3.3. DFT B3LYP Calculations

Theoretical calculations were performed using the PC Spartan'14 software (Wavefunction Inc., Irvine, CA, USA). The geometric structure of compound **8** was fully optimized without imposing any symmetry constraint with Becke's three-parameter hybrid functional and the Lee–Yang–Parr correlation functional (B3LYP) at the level 6-311G\*.

## 4. Conclusions

We presented the synthesis of the title compound **3** through a one-pot reaction involving L-tryptophan, 2-oxobutanoic acid, and 1-butanol. Microwave irradiation at varying temperatures and reaction times revealed temperature-sensitive reactions leading to the formation of hemiacetal compound **5** and ester-type derivative **6**. The subsequent decrease in the yield of **6** and the appearance of compound **3** at higher temperatures suggested an amidation reaction between intermediates **4** and **6**, leading to the formation of the desired product.  $\text{SiMe}_3\text{Cl}$  played a crucial role in eliminating water and selectively promoting dehydration reactions, with the proposed involvement in forming HCl as a definitive catalyst in the reaction system.

**Supplementary Materials:** Spectroscopic data for the characterization of compounds **3**, **5**, and **6**; Figure S1:  $^1\text{H}$  NMR of compound **3**; Figure S2:  $^{13}\text{C}$  NMR spectrum of compound **3**; Figure S3: ESI<sup>+</sup>-HRMS spectrum of compound **3**; Figure S4:  $^1\text{H}$  NMR spectrum of compound **5**; Figure S5:  $^{13}\text{C}$  NMR spectrum of compound **5**; Figure S6:  $^1\text{H}$  NMR spectrum of compound **6**.

**Author Contributions:** Conceptualization, D.Q. and E.C.-B.; methodology, D.Q. and E.C.-B.; software, D.Q. and E.C.-B.; chemical synthesis and structural elucidation, D.Q. and E.C.-B.; writing—original draft preparation, D.Q. and E.C.-B.; writing—review and editing, D.Q. and E.C.-B.; project administration, D.Q. and E.C.-B.; funding acquisition, D.Q. and E.C.-B. All authors have read and agreed to the published version of the manuscript.

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**Data Availability Statement:** The data presented in this study are available on request from the corresponding author.

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**Conflicts of Interest:** The authors declare no conflicts of interest.

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